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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## **NEW UTILITY PATENT APPLICATION TRANSMITTAL**

(only for new nonprovisional applications under

| Attorney Docket Number | 20735-05503          | PTO  |
|------------------------|----------------------|------|
| First Named Inventor   | Chee-Hong Wong       |      |
| Title                  | Fast escrow delivery | 17 U |
| Express Mail Label No. | EL482717431US        | 110  |

| 37 CFR 1.53(b))  | Express Mail Label No. EL482717431US   |  |  |  |  |
|--|--|--|--|--|--|
| APPLICATION ELEMENTS   | ACCOMPANYING APPLICATION PARTS   |  |  |  |  |
| 1. Eee Transmittal Form (in duplicate)   | 7. Assignment Papers (cover sheet & document(s))   |  |  |  |  |
| Applicant claims small entity status.     See 37 CFR 1.27  | 8. Certified Copy of Priority Document(s) (if foreign priority is claimed)                               |  |  |  |  |
| 3. Specification Total Pages 37  | 9. Power of Attorney or Authorization of Agent   |  |  |  |  |
| (preferred arrangement set forth below)  Descriptive Title of the Invention  Cross Reference(s) to Related Case(s)  Statement Regarding Fed sponsored R & D  Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawing(s)  Detailed Description  Claim or Claims  Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. Total Sheets  113)  5. Oath or Declaration  a. New Declaration  Total Pages  Executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR  | 10. 37 CFR 3.73(b) Statement  11. Preliminary Amendment  12. Information Disclosure Statement & PTO-1449 |  |  |  |  |
| 1 63(d)(2) and 1.33(b)   | ADDRESS TO:  |  |  |  |  |
| 6. Application Data Sheet. See 37 CFR 1.76   | ADDRESS TO:  Box Patent Application Commissioner for Patents Washington, D.C. 20231                      |  |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/332,358  Prior application information: Examiner: Not yet assigned Group/Art Unit: 2766  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS |  |  |  |  |  |
| Customer Number and Bar Code Label 00758   |  |  |  |  |  |
| Name (Print/Type) Michael W. Farn  | Registration No. (Attorney/Agent) 41,015   |  |  |  |  |
| Signature Windows Windows  | Date June 14, 2001   |  |  |  |  |

PTO/SB/17 (10-00)(modified) Approved for use through 09/29/01, OMB 0651-0032

|   |   |   |                           | Patent and T                   | rademark                      | Office: U.S. DEPARTMENT OF C                              | OMMERCE       |
|---|---|---|---------------------------|--------------------------------|-------------------------------|---|---------------|
| 0002/PTO(modified) U.S. Department of Commerce  |   |   | Complete if Kno           |                                |                               |   |               |
| Rev. 10/2000 Patent and Trademark Office  |   | Office  |                           |                                | Inassigned                    |   |               |
|   |   | · · · · · · · · · · · · · · · · · · ·               |                           | une 14, 2001<br>Chee-Hong Wong |                               |   |               |
|   | FEE T                                   | RANSMITTAL  |                           | First Named Inventor           |                               | Shee-Hong Wong  |               |
|   |   |   | Group Art Unit U          |                                | Jnassigned                    |   |               |
| TOTAL AMOUNT OF PAYMENT   |   | Γ   | Examiner Name U           |                                | Inassigned                    |   |               |
| Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$)449  |   |   |                           | Attorney Docket Number 2       |                               | 20735-05503   |               |
| MET   | HOD OF                                  | PAYMENT   |                           | FEE CAL                        | CULAT                         | ION (continued)   |               |
|   |   |   | e appition                |                                |                               |   |               |
| 1. The Commiss  | sioner is he                            | ereby authorized to:                                | 3. ADDITION               |                                |                               |   |               |
| Charge the indicated fees to the below mentioned deposit account.   |   | Large Entity Fee Code/Fee                           | Small Entity Fee Code/Fee | Fee Desc                       | ription                       | Fee Due   |               |
|   |   |   |                           |                                | ·                             |   |               |
|   | ,                                       |   | 105/ <b>\$130</b>         | 205/ <b>\$65</b>               | Surcharge                     | Surcharge - late filing fee or oath                       |               |
|   |   | I fee required under 37<br>edit any over payments   | 127/ <b>\$50</b>          | 227/ <b>\$2</b> 5              | Surcharge                     | e-late provisional filing fee or cover sheet              |               |
|   |   | ed deposit account T                                |                           |                                | ·                             |   |               |
|   |   |   | 147/ <b>\$2,520</b>       | 147/ <b>\$2,520</b>            | For filing a                  | For filing a request for reexamination                    |               |
|   |   | Il entity status                                    | 115/ <b>\$110</b>         | 215/ <b>\$55</b>               | Extension                     | Extension for response within first month <sup>†</sup>    |               |
|   |   |   | 116/\$390                 | 216/ <b>\$195</b>              | Extension                     | Extension for response within second month†               |               |
|   |   |   |                           |                                | Evtoncion                     | Extension for response within third month <sup>†</sup>    |               |
| Deposit Account N<br>Deposit Account N  | lumber: 19-<br>lame: FEN\               | 2555<br>WICK & WEST LLP                             | 117/\$890                 | 217/ <b>\$445</b>              |                               |   |               |
|   |   | orization is attached                               | 118/ <b>\$1,390</b>       | 218/ <b>\$695</b>              |                               | for response within fourth monthT                         |               |
|   | or uns autho                            | Mization is attached                                | 128/ <b>\$1,890</b>       | 228/ <b>\$945</b>              | Extension                     | for response within fifth month                           |               |
| 2 ⊠ Payme   | ent Enclose                             | ed:<br>Credit Card                                  | 119/ <b>\$310</b>         | 219/ <b>\$155</b>              | Notice of                     | Appeal  |               |
|   |   |   | 141/ <b>\$1,240</b>       | 241/ <b>\$620</b>              | Petition to                   | revive unintentionally abandoned                          |               |
| 1. FILING FEE   | LATION                                  | fees effective 10/01/2000)                          | 141/\$1,240               | 24 1/4020                      | application                   | ·   |               |
| Large Entity Small  | all Entity<br>e Code/Fee                | Fee Fee<br>Description Due                          | 142/ <b>\$1,240</b>       | 242/ <b>\$620</b>              | Utility Iss                   | ue Fee (Or Reissue)                                       |               |
| Code/Fee  | • |   |                           |                                |                               |   |               |
| 101/\$710 2   | 201/\$355                               | Utility Filing 355                                  | 143/ <b>\$440</b>         | 243/ <b>\$220</b>              | Design Is                     | sue Fee   |               |
| 106/ <b>\$320</b> 2   | 206/ <b>\$160</b>                       | Design Filing                                       | 122/\$130                 | 122/ <b>\$130</b>              | Petitions to the Commissioner |   |               |
| 2 2 2   | 208/ <b>\$355</b>                       | Reissue   | 126/ <b>\$180</b>         | 126/ <b>\$180</b>              | Submissi                      | on of Information Disclosure Statement                    |               |
| T complete  |   | Provisional   | 179/ <b>\$710</b>         | 279/ <b>\$355</b>              | Request                       | for Continued Examination (RCE)                           |               |
| <u>1</u> 14/ <b>\$1</b> 50  | 214/ <b>\$75</b>                        | Filing  | 581/ <b>\$40</b>          | 581/ <b>\$40</b>               | Recordin                      | g each patent assignment per property mber of properties) | 40            |
|   | SUBTOTAL                                | L (1) (\$)355                                       |                           |                                | (unics no                     | mber of properties)                                       |               |
| [m2   |   | _ (   | 146/\$710                 | 246/ <b>\$355</b>              |                               | ubmission after final rejection                           |               |
| 2. CLAIMS   |   |   |                           |                                | (37 CFR                       | 1.129(a))   |               |
|   | Small Entity<br>ee Code/Fee             | Fee Description                                     | 149/ <b>\$710</b>         | 249/ <b>\$355</b>              |                               | additional invention to be examined 1.129(b))             |               |
| 103/\$18  | 203/ <b>\$9</b>                         | Claims in excess of 20                              |                           |                                | •                             |   | <del></del> 1 |
| 102/\$80  | 202/ <b>\$40</b>                        | Independent claims                                  |                           | Other fee (specify)            |                               |   |               |
|   |   | in excess of 3                                      |                           | Other fee (specify)            |                               | A   |               |
| 104/ <b>\$270</b>   | 204/ <b>\$135</b>                       | Multiple dependent claim                            |                           |                                |                               | SUBTOTAL (3) (\$)4  | U             |
| 109/\$80  | 209/ <b>\$40</b>                        | Reissue independent                                 | (Col. 1                   |                                |                               | (Col. 3)  | Fee           |
|   |   | claims over original patent                         | For Existin               | g Previo                       | ously                         | Extra** Fee   | Due           |
| 110/\$18  | 210/ <b>\$9</b>                         | Reissue claims in excess<br>of 20 and over original | TOTAL 26                  | minus* 20 or <b>0</b>          |                               | 6 x 9 =   | 54            |
|   |   | patent  | INDEP 3                   | minus* 3 or 0                  |                               | 0 x 40 =  | 0             |
|   |   |   | [ ] First pr              | esentation of multiple depen   | dent claim                    | =   | 0             |
|   |   |   | * Cultimat the            | greater number of Col. 2       |                               | SUBTOTAL (2)  | 5)54          |
| * Subtract the greater number of Col. 2 SUBTOTAL (2) (\$)54  ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3 |   |   |                           |                                |                               |   |               |
| SUBMITTED BY  |   |   |                           |                                |                               | Complete (if applicable)                                  |               |
| Typed or Printed N  | lame I                                  | Michael W. Farn                                     |                           |                                |                               | Reg Number 41,015   |               |

Signature

June 14, 2001

Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor |                      | Chee-Hong Wong |  |
|----------------------|----------------------|----------------|--|
| Title                | Fast escrow delivery |                |  |
| Atty Docket Number   |                      | 20735-05503    |  |

I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

<u>June 14, 2001</u>

Signature

Michael W. Farn, Reg. No. 41,015
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement. This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14 This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.